

# Vermont Statewide

Homeless Management Information  
System

Policies and Procedures Version 3.0

VT HMIS Advisory Committee

in partnership with

Institute for Community Alliances

2023

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## Revision History

Section number	Revision description	Date approved
N/A	Document page numbers added	BoS – 6.19.2018 Chittenden – 8.2.2018
N/A	Document updated to version 2.0	BoS – 6.19.2018 Chittenden – 8.2.2018
2.1	Add obtain client release of information to user agreement.	BoS – 6.19.2018 Chittenden – 8.2.2018
2.2	Add agency responsibilities and timeline for notifying ICA of changes in users	BoS – 6.19.2018 Chittenden – 8.2.2018
3.4	Added clarification of written request for law enforcement requesting data	BoS – 6.19.2018 Chittenden – 8.2.2018
3.4	Remove historical data cannot be used to discriminate against clients. Add historical data cannot be used for eligibility purposes.	BoS – 6.19.2018 Chittenden – 8.2.2018
3.8	Delete “if possible” change locate to reached.	BoS – 6.19.2018 Chittenden – 8.2.2018
3.10	Update vendor name	BoS – 6.19.2018 Chittenden – 8.2.2018
5	Add additional glossary terms	BoS – 6.19.2018 Chittenden – 8.2.2018
	Updated ServicePoint with Bitfocus Community Service (ServicePoint) throughout	BoS – 4.16.2019 Chittenden – .6.6.2019
2.1	Added timeframe for agencies to notify lead agency of staffing changes	BoS – 4.16.2019 Chittenden – .6.6.2019
2.1	Specified requirements for creating and maintaining strong passwords	BoS – 4.16.2019 Chittenden – .6.6.2019
2.4	Defined role of Executive Director as user type in HMIS	BoS – 4.16.2019 Chittenden – .6.6.2019
2.7	Modified Advisory Committee will be made aware of HMIS license costs not approve costs	BoS – 4.16.2019 Chittenden – 6.6.2019
2.8	Removed Advisory Committee vote to revoke user licenses for chronic misuse.	BoS – 4.16.2019 Chittenden – 6.6.2019
3.1	Updated for consistent use of terms used in document, reorganized for better clarity	BoS – 4.16.2019 Chittenden – 6.6.2019
3.4	Requirement to disclose PII to law enforcement source cited, additional language to strengthen this as optional of providers	BoS – 4.16.2019 Chittenden – 6.6.2019
4.3	Data quality plan improvement steps stated and removal of punitive language	BoS – 4.16.2019 Chittenden – 6.6.2019
Glossary	Removed “Division of Housing” from System Administrator	BoS – 4.16.2019 Chittenden – 6.6.2019

Multiple Sections	Replaces WellSky Community Services with new vendor name; Bitfocus Clarity	BoS Chittenden
2.4	Updated User Roles to reflect the new Access Roles from Bitfocus Clarity	BoS Chittenden
3.1: Shared Data	Removed 'not'	BoS Chittenden
3.4: Limits on Partner Agency Use of HMIS Client Information	Removed 'regionally' Added: Data is shared with agencies that are listed in the VT Agencies Using HMIS document. This list is subject to change.	BoS Chittenden
Multiple sections	Removed 'Agency Administrator' and replaced with 'Designated HMIS Agency Contact(s)'	BoS Chittenden
N/A	Document updated to version 3.0	BoS Chittenden
2.8 HMIS OPERATING POLICIES VIOLATION	Added Agency violations and updated the repercussions.	BoS Chittenden

## 1. Introduction

**Commented [MMR1]:** -Put some clarifying verbiage about the ability to purpose other vendors for the HMIS software  
-Add policy/procedure on software/vendor review  
-

The Vermont Homeless Management Information System (HMIS) is a collaborative project of the two Vermont Continua of Care (CoC) – Balance of State, and Chittenden County – the Institute for Community Alliances (ICA), and participating Partner Agencies. Our HMIS is an internet-based database, called Bitfocus Clarity, which is used by homeless service organizations across Vermont to record and store client-level information about the numbers, characteristics and needs of homeless persons and those at risk of homelessness. Bitfocus, hereinafter called 'Vendor', administers the central server and HMIS software, and ICA administers user and agency licensing, training and compliance.

HMIS enables service providers to measure the effectiveness of their interventions and facilitate longitudinal analysis of service needs and gaps within the CoCs. Information that is gathered from consumers via interviews conducted by service providers is analyzed for an unduplicated count, aggregated (void of any identifying client level information) and made available to policy makers, service providers, advocates, and consumer representatives. Data aggregated from HMIS about the extent and nature of homelessness in the state of Vermont is used to inform public policy decisions aimed at addressing and ending homelessness at local, state and federal levels.

Guidance for the implementation of Vermont's HMIS is provided by an Advisory Committee made up of a geographically diverse group of HMIS Users, homeless service providers, policy makers, funders and CoC Leadership, who is committed to understanding the gaps in services to consumers of the human service delivery system in an attempt to end homelessness.

This document provides the policies, procedures, guidelines and standards that govern HMIS operations, as well as the responsibilities for Designated HMIS Agency Contacts and end users.

## 1.1 HMIS BENEFITS

Use of HMIS provides numerous benefits for service providers, homeless persons and the State of Vermont.

Benefits for service providers

- Better able to define and understand the extent of homelessness throughout Vermont.
- Provides online real-time information about client needs and the services available for homeless persons.
- Assures confidentiality by providing information in a secured system.
- Decreases duplicative client intakes and assessments of shared files.
- Tracks client outcomes and provides a client history.
- Generates data reports for local use and for state and federal reporting requirements.
- Facilitates the coordination of services within an organization and, when data are shared, with other agencies and programs.
- Provides access to a statewide database of service providers, allowing agency staff to easily select a referral agency when data are shared.
- Allows for data informed allocation of staff, time, financial and other resources where services for homeless persons are needed the most.
- Better able to evaluate the effectiveness of specific interventions and programs, and services provided.

#### Benefits for homeless persons

- Intake information and needs assessments are maintained historically, reducing the number of times homeless persons must repeat their stories to multiple service providers when data are shared.
- The opportunity to provide intake and life history one time demonstrates that service providers consider the homeless person's time valuable and restores some of the consumer's dignity.
- Multiple services can be easily coordinated, and referrals streamlined when data are shared.

## 2. Requirements for Participation

### 2.1 PARTNER AGENCY REQUIREMENTS

The homeless service organizations that use HMIS, hereinafter called 'Partner Agency', must adhere to the following requirements.

#### Participation Agreement Documents

Partner Agencies must complete the following documents:

1. **Partnership Agreements** must be signed by each Partner Agency's Executive Director or authorized representative. The Institute for Community Alliances will retain the original document. The Partnership Agreement states the Partner Agency's commitment to adhere to the policies and procedures for effective use of HMIS.
2. **Vermont HMIS User Agreements** list user policies and responsibilities and are electronically signed by each authorized HMIS user at Partner Agency. An electronic or hard copy of the original document must be kept by the originating Partner Agency.
3. **Coordinated Services Agreements** allow the specifically named HMIS user to enter client data as, or on behalf of, another specifically named Partner Agency and/or to report on behalf of the specifically named Partner Agency. The signed agreement will be maintained by the HMIS Lead Agency, the Institute for Community Alliances (ICA).

#### User Access to the System

The HMIS System Administrator, will determine user access for users and assign users to the appropriate agency. The HMIS System Administrator will generate usernames and passwords within the administrative function of the software.

All users must complete training before access to the system is granted by the HMIS Lead Agency. It is recommended that all users undergo a criminal background check as detailed in the Partnership Agreement at this time, pending HMIS Final Rule.

It is the responsibility of the Partner Agency Executive Director or designee, such as the Designated HMIS Agency Contact, to notify the HMIS Lead Agency within 48 hours when a HMIS user in their agency no longer needs access to HMIS.

**Commented [MMR2]:** Passwords – have a auto reset function now  
Agency is responsible to let ICA know what users need access, don't need access anymore and who needs to be trained.

### User Requirements

Users must be paid staff or official volunteers of a Partner Agency. An official volunteer must complete a volunteer application with the Partner Agency, undergo agency training, and record volunteer hours with the agency. It is strongly recommended that all users, including official volunteers, undergo a criminal background check. Individuals who are solely contracting with a Partner Agency are prohibited from receiving a user license. All users must be at least 18 years old.

### Users Who are Also Clients Listed in HMIS

In order to prevent users from editing their own file or files of immediate family members, all users will agree to a conflict of interest statement that is part of the Vermont HMIS User Agreement. Users must disclose any potential conflict of interest to their System Administrator. Users will be prohibited from making changes to the information in their own file or the files of their immediate family members. If a user is suspected of violating this agreement, the System Administrator will run the audit trail report to determine if there was an infraction.

### Passwords

- Creation: Passwords are automatically generated from the system when a user is created. The System Administrator will communicate the system-generated password to the user.
- Use: The user will be required to change the password the first time they log onto the system. The password must be at least 8 characters long and contain a minimum of one each upper and lowercase letter, numeric character, and special character. Passwords should not be able to be easily guessed, found in a dictionary, or you are aware it has been previously compromised. Passwords are the individual's responsibility and users cannot share passwords. Users may not keep written copies of their password in a publicly accessible location.
- Storage: Any passwords that are written down are to be stored securely and must be inaccessible to other persons. Users are not to store passwords on a personal computer for easier log on.
- Expiration: Passwords expire every 45 days. Users may not use the same password consecutively or reuse previous passwords.
- Unsuccessful login: If a user unsuccessfully attempts to log on 3 times, their User ID will be "locked out," and access permission will be revoked rendering the user unable to gain access until their password is reset. This can be completed on the HMIS login page or by contacting their System Administrator.

### Entering Data

Partner Agencies participating in the HMIS must meet the minimum data entry requirements established by the current HMIS Data Standards.

### Tracking of Unauthorized Access

Any suspicion of unauthorized activity should be reported to the HMIS Lead Agency.

### Client Consent for Sharing Data Forms

In addition to posting the HMIS Consumer Notice, Partner Agencies are required to have clients sign a client consent form if the client's data are shared in the system. The form requires clients to authorize the electronic sharing of their personal information with other Partner Agencies that participate in HMIS when data sharing is appropriate for client service. Agencies are required to ensure clients are given the opportunity to make choices about their personal and program related information that is shared in

Commented [KA3]: This should now just be System Administrator I think.

Commented [LM4R3]: Updated

HMIS. Agencies must use the Client Consent form on the ICA website. The form allows the client to exercise their right to opt-out of data sharing in the cases where they have discretion. Clients may elect to share or not share their information with HMIS participating agencies and CoC Data Partners.

#### Data Protocols

Partner Agencies may collect information for data elements in addition to the minimally required data elements established by the HMIS Advisory Committee in accordance with HUD.

Partner Agencies must maintain consistency with data collection and entry within each program.

## 2.2 RESPONSIBILITIES OF HMIS USERS

#### Designated HMIS Agency Contacts

1. Edit and update Partner Agency information in HMIS.
2. Ensure that the Partner Agency obtains a unique user license for each user at the agency.
3. Establish the standard report for each specific program created.
4. Maintain a minimum standard of data quality by ensuring the Universal Data Elements are complete and accurate for every individual served by the agency and entered into HMIS.
5. Maintain the required Universal Data Elements and program specific data elements for each program in accordance with the current HMIS Data Standards and maintain data elements required by the HMIS Advisory Committee and/or the CoC in which the program operates.
6. Ensure Partner Agency staff persons receive required HMIS training and review the Vermont HMIS Policies and Procedures, the Agency Partnership Agreement and any agency policies which impact the security and integrity of client information.
7. Ensure that HMIS access is granted only to staff members that have received both basic and security training, have completed the Vermont HMIS User Agreement and are authorized to use HMIS.
8. Notify HMIS System Administrator if an agency user is going on extended leave, vacation or leaving position/agency.
9. Notify all users at their agency of interruptions in service.
10. Provide a single point of communication between users and the HMIS System Administrator staff.
11. Administer and monitor data security policies and standards, including:
  - User access control;
  - The backup and recovery of data; and
  - Detecting and responding to violations of the policies and procedures or agency procedures.

#### Users

1. Take appropriate measures to prevent unauthorized data disclosure.
2. Report any security violations.
3. Comply with relevant policies and procedures.
4. Input required data fields in a current and timely manner. (Best practice is within 5 days with up to 30 days grace period.)

**Commented [KA5]:** Is there a definition for these, such as how long would be considered extended?

**Commented [LM6R5]:** Need to add language - thought was 2 weeks or more

**Commented [LM7R5]:** Agencies usually let employees take 2 weeks at a time - suggestion is 1 month or longer

**Commented [RM8]:** What does "at the HMIS System Administrator" mean?



5. Ensure a minimum standard of data quality by accurately answering the Universal Data Elements and required program specific data elements for every individual entered into HMIS.
6. Inform clients about the agency's use of HMIS and secure all required releases of information for sharing client data
7. Take responsibility for any actions undertaken with one's username and password.
8. Complete required training.
9. Read the Vermont HMIS News email newsletter.
10. Notify the HMIS System Administrator if user is going to be out on extended leave, vacation or leaving their position.

NOTE: If a user does not log in for 90 days or more, their user account will automatically be made inactive. This could prompt the HMIS System Administrator to require the user to re-take the new user training.

Commented [KA9]: So are both users and the designated contact supposed to notify ICA? Or should the user be notifying the designated contact, who then notifies ICA?

Commented [LM10R9]: The intention of this is that it is everyone responsibility at the agency to tell us if these things are happening

Commented [MMR11]: Needs to be updated

## 2.3 USER TRAINING REQUIREMENTS

### New HMIS User Training Requirements

Any potential HMIS user is required to attend new user training with the HMIS Lead Agency prior to receiving access to the system. If the HMIS Lead Agency determines that data entered by a current HMIS User does not meet minimum data quality standards, users may be required to repeat this training.

Once a new user begins the training series, they have 30 days to complete the training series and any required assignments. If the user fails to complete all requirements within 30 days, the user will need to begin the training series again. HMIS System Administrator staff may determine that a new user failed to grasp the necessary data entry concepts and may use their discretion to require them to repeat new user training. If a new user fails to successfully complete the trainings requirements for data entry after repeated attempts, HMIS System Administrator staff may use their discretion to determine that the new user is not capable of accurate and complete data entry and may refuse to issue the new user a Vermont HMIS user license.

If a user requesting a HMIS user license and had a license for the Vermont HMIS in the past, the user will be required to re-take the training series, with few exceptions. The HMIS Lead Agency has sole discretion to waive the requirement to attend new user training. The HMIS Lead Agency will consider the user's familiarity with the HMIS and the need for the user to learn about potential system updates and changes during new user training when making its decision to waive the new user training requirement.

Users are expected to fully participate in all trainings attended. If a user misses more than ten minutes or ten percent (whichever is greater) of a training, the user will not receive credit for completing the training.

### Ongoing User Training Requirements

- All Users
  - All users are required to attend annual security training to retain their user license. If users fail to take this required training, they will have their licenses made inactive until

they fulfill this training requirement. New users taking the security training in the 90 days prior to the annual recertification time frame, will be exempt from completing an additional training during that calendar year.

- All users are required to attend at least two general HMIS trainings annually.
  - These include funding specific and/or project type specific trainings that are created by the HMIS System Administrators.
- Report Training
  - If users would like to gain access to a Data Analysis (Looker) License, they are required to attend at least one Data Analysis training annually in addition to the required general HMIS trainings.

Users are expected to fully participate in all trainings attended. If a user misses more than ten minutes or ten percent (whichever is greater) of a training, the user will not receive credit for completing the training.

## 2.4 HMIS ACCESS ROLES

Access Roles designate what data and capabilities a HMIS User can access in the HMIS. Find more detail here:

Access Roles are created with the following:

- Seat Type
  - **Admin:** access to all *Access Role* rights
  - **Manager:** access to all *Access Role* rights except for *Any Agency Sensitive Data* and *Data Import*
  - **Enterprise:** same access level as *Manager* except for *Manage Agency*, *Data Analysis*, *Data Analysis Save*, and *Aggregate Data Analysis*
- Access Role Rights
  - **System Administrator Rights Only**
    - Only ICA will still have these rights
  - **Access Rights:** Access rights provide staff members **access** to specific components of the client record, agency and program management, and Clarity Human Services functionality. If the right is toggled off for an access role, staff members with that access role will not be able to access the corresponding functionality.
  - **Create Rights:** **Create Rights** give staff members the ability to **create** and **record** specific types of data in client records.
  - **Edit Rights:** **Edit** provide staff members the ability to **edit** and **delete** existing data in client records, either at the agency level or across all agencies (subject to [sharing settings](#)).

- **Delete Rights:** **Delete Rights** provide staff members the ability to **delete** existing data in client records, either at the agency level or across all agencies (subject to [sharing settings](#)).

## 2.5 HMIS VENDOR REQUIREMENTS

### Physical Security

Access to areas containing HMIS equipment, data and software will be secured.

### Firewall Protection

Vendor will secure the perimeter of its network using technology from firewall vendors. Company system administrators monitor firewall logs to determine unusual patterns and possible system vulnerabilities.

### User Authentication

Users may only access HMIS with a valid username and password combination that is encrypted via SSL for internet transmission to prevent theft. If a user enters an invalid password three consecutive times, they are automatically shut out of that HMIS session. For added security, the session key is automatically scrambled and re-established in the background at regular intervals.

### Application Security

HMIS users will be assigned a system access level that restricts their access to appropriate data.

### Database Security

Wherever possible, all database access is controlled at the operating system and database connection level for additional security. Access to production databases is limited to a minimal number of points; as with production servers, production databases do not share a master password database.

### Technical Support

Vendor will assist the HMIS Lead Agency to resolve software problems, make necessary modifications for special programming, and will explain system functionality to the HMIS Lead Agency.

### Technical Performance

Vendor maintains the system, including data backup, data retrieval and server functionality/operation. Upgrades to the system software will be continuously developed and implemented.

### Hardware Disposal

Data stored on broken equipment or equipment intended for disposal will be destroyed using industry standard procedures.

## 2.6 MINIMUM TECHNICAL STANDARDS

### Minimum Computer Requirements

- A PC with a 2 Gigahertz or higher processor, 40GB hard drive, 512 MB RAM, and Microsoft Windows 10

- The most recent version of Google Chrome, Microsoft Edge, Apple Safari or Mozilla Firefox. No additional plug-in is required.
- It is recommended that your browser have a 128 cipher / encryption strength installed. The browser's cache should be set to "Check for new version of the stored pages: Every visit to page."
- A broadband Internet connection or LAN connection. Dial-up modem connections are not sufficient.
- Virus protection updates

#### Additional Recommendations

##### Memory

- Windows 10: 4 Gig recommended (2 Gig minimum)

##### Monitor

- Screen Display: 1024x768 (XGA) or higher; 1280x768 strongly advised

##### Processor

- A Dual-Core processor is recommended

## 2.7 HMIS LICENSE FEES

### Annual Vermont HMIS License Fees

Partner Agencies may purchase licenses at any time. The amount of a user license may change depending on the operating costs of the Vermont HMIS. The amount charged for user licenses is dependent on the operating costs of the Vermont HMIS. The HMIS Advisory Committee will be notified of changes in cost and given the opportunity to ask questions regarding the impact of such change.

Billing for licenses will occur once annually, covering July - June. The annual fee must be paid within 60 days following the date of the invoice. If a Partner Agency fails to pay their license fees by the stated due date, the agency's user licenses will be suspended until the HMIS Lead Agency receives the payment.

### Data Analysis Licenses

The Data Analysis license is an add-on license available for HMIS users to facilitate data reporting. The additional amount charged for these licenses will reflect the actual cost of the license charged to the HMIS Lead Agency under the HMIS software contract.

Commented [MMR12]: Update for Looker

## 2.8 HMIS OPERATING POLICIES VIOLATION

HMIS users and Partner Agencies must abide by all HMIS operational policies and procedures found in the HMIS Policies and Procedures manual, the Vermont HMIS User Agreement, and the Partner Agency Agreement. Repercussion for any violation will be assessed in a tiered manner. Each user or Partner Agency violation will face successive consequences – the violations do not need to be of the same type to be considered second or third violations. Violations do not expire; no regard is given to the duration of time that occurs between successive violations.

As the contract holder with the HMIS Vendor, ICA is the only entity that will have contact with the HMIS Vendor. If a User or Partner Agency has any questions, concerns, suggestions, etc, they should be

contacting their HMIS System Administrator. This will lessen confusion and the occurrence of misleading information. If you need more information, contact your HMIS System Administrator;

Examples of User and Partner Agency violations include but are not limited to:

- Interactions with the HMIS Vendor
  - Emailing staff at HMIS Vendor
  - Requesting and/or scheduling a meeting with HMIS Vendor staff
  - Attending HMIS Vendor trainings
  - Participating in virtual platforms that are specific to the HMIS Vendor
    - Such as their Slack channel(s)
- Sharing username and password
- Sharing client level data with agencies or persons that the client did not give permission to share
- Using client data to discriminate against a client or refuse service

#### Repercussions

- First Violation – the user and/or Partner Agency will be notified of the violation in writing by the HMIS Lead Agency. If a user violation, the user’s license will be suspended until the user participates in a live Security and Privacy training. If there is an agency violation, all user licenses will be suspended until all users participate in a live Security and Privacy training. The HMIS Lead Agency will notify the HMIS Advisory Committee of the violation during the next scheduled Advisory Committee meeting following the violation.
- Second Violation – the user and/or Partner Agency will be notified of the violation in writing by the HMIS Lead Agency. If a user violation, the user’s license will be suspended for 30 days. The user will also be required to participate in a live Security and Privacy training. This action will not shorten the length of the license suspension. If the violation has not been remedied by the end of the 30-day user license suspension, the suspension will continue until the user has participated in a live Security and Privacy training. If an agency violation, all user licenses will be suspended for 30 days. All users will also be required to participate in a live Security and Privacy training. This action will not shorten the length of the license suspension. If the violation has not been remedied by the end of the 30-day user license suspension, the suspension will continue until all users have participated in a live Security and Privacy training. The HMIS Lead Agency will notify the HMIS Advisory Committee of the violation during the next scheduled Advisory Committee meeting following the violation.
- Third Violation – The HMIS Lead Agency will notify the user and/or Partner Agency of the violation in writing. The HMIS Lead Agency will notify the HMIS Advisory Committee of the violation. The HMIS System Administrator and HMIS Advisory Committee will determine what the repercussions for the user or agency will be. If user has an additional violation after their third violation, their license will be terminated, and the Advisory Committee will be notified. If an agency has an additional violation after their third violation, a fee will be incurred by that agency. This fee will be determined by the HMIS System Administrator and the HMIS Advisory Committee. User licenses will be suspended until the fee is paid in full. A minimum fee of \$500

**Commented [KA13]:** Is this the right training for all types of violations? I'm not sure if this is the appropriate requirement for a violation related to interacting with the vendor.

**Commented [LM14R13]:** This is going to be part of the next Privacy and Security Training end users will be taking in 2023.

Update language to say something like: if a user violation, the users license will be suspended until the user participates in assigned repercussions which may include participating in a live Security and Privacy training.

**Commented [LM15R13]:** Policy and Procedure is also included in the security training

**Commented [KA16]:** Will there be a special meeting convened? Or will the Committee be notified at the next scheduled meeting and discuss the repercussions then?

**Commented [LM17R16]:** Yes - convene a special meeting within (a time frame?) of the violation. WE NEED COMMITTEE'S THOUGHTS ON THIS!

A third violation would be serious. We would reach out to the committee prior to meeting with the user/agency.

**Commented [LM18R16]:** How many committee members need to be present to make a decision? Sense of urgency - meet within 5 business days?

What happens if a user/agency is involved in the violation represented on committee?

Third Violation: After special meeting convened - primary funders who require HMIS participation is notified.

**Commented [LM19R16]:** Excluding any members from the agency in question. Requirement, more than 50% with a preference of 2/3 committee members.

Add note: case by case, if agency requests we approach repercussion in localized way, we can take that into consideration.

**Commented [KA20]:** Any thoughts on how the fee amount will be determined? Is there a basis the committee will use? Or does ICA have a basis and then make a recommendation to the committee?

**Commented [LM21R20]:** ICA was thinking no less than \$150.00 and no more than \$2,000.00

**Commented [LM22R20]:** What would the cost be for ICA as the HMIS lead to provide training, respond, investigate. Where does the funding go? Support ICA staffing and potentially pay the vendor any fees uncured. More than \$150 minimum

**Commented [LM23R20]:** Meghan will check in with Adam about what a 5 hour staff wage would be

**Commented [LM24R20]:** For the committee - minimum of \$500 up to 5 hours and an additional \$50 per hour for ICA. If Vendor needs to be involved any additional Vendor fees will be agency responsibility.

would be incurred by the agency with a potential for this fee to significantly rise depending on System Administration time and if Vendor involvement is needed.

Any user or other fees paid by the Partner Agency will not be returned if a user's or Partner Agency's access to HMIS is revoked.

#### Notifying the HMIS Lead Agency of a Violation or Security Breach

It is the responsibility of the Designated HMIS Agency Contact or general user at Partner Agencies that do not have a Designated HMIS Agency Contact, to notify the HMIS Lead Agency when they suspect that a user or Partner Agency has violated any HMIS operational agreement, policy or procedure. A complaint about a potential violation must include the user and Partner Agency name and a description of the violation, including the date or timeframe of the suspected violation. Complaints should be sent in writing to the HMIS Lead Agency at VTHMIS@icalliances.org. The name of the person making the complaint will not be released by the HMIS Lead Agency if the individual wishes to remain anonymous.

In the event that the suspicion of violation of use is about an employee of the HMIS Lead Agency, the Designated HMIS Agency Contacts or reporting user should contact both the affected HUD Continuum of Care leadership as well as the direct HMIS Lead Agency managing director.

#### Violations of Local, State or Federal Law

Any Partner Agency or HMIS User violation of local, state or federal law will immediately be subject to license suspension. Offenses that result in suspension are those that could raise liability concerns, such as crimes involving dishonestly, abuse or neglect of vulnerable persons, or violence against other persons.

#### Multiple Violations within a 12-Month Timeframe

During a 12-month calendar year, if there are multiple users (3 or more) with multiple violations (2 or more) from one Partner Agency, the Partner Agency as a whole will be subject to the consequences listed under the Third Violation above.

### **3. Privacy and Security**

The importance of the integrity and security of HMIS cannot be overstated. Given this importance, HMIS must be administered and operated under high standards of data privacy and security. The HMIS Lead Agency and Partner Agencies are jointly responsible for ensuring that HMIS data processing capabilities, including the collection, maintenance, use, disclosure, transmission and destruction of data, comply with the HMIS privacy, security and confidentiality policies and procedures. When a privacy or security standard conflicts with other Federal, state and local laws to which the Partner Agency must adhere, the Partner Agency must contact the HMIS Lead Agency to collaboratively update the applicable policies for the Partner Agency to accurately reflect the additional protections.

#### 3.1 DATA ASSESSMENT AND ACCESS

**Commented [KA25]:** Does this mean VCEH or CCHA? It may be helpful to clarify if this doesn't mean the local CoC, but rather the HUD-recognized CoC.

**Commented [LM26R25]:** Updated to include HUD

**Commented [LM27]:** Updated language to this section!

**Commented [KA28]:** Law related to confidentiality and data sharing? Or any law in general?

**Commented [LM29R28]:** Need to add language 'charged and/or convicted' of ...

**Commented [LM30R28]:** "under investigation" - concerns around this language as we have a comprehensive justice system whose job it is to charge and convict.

"Subject to license suspension..."  
Charges are... (Ari will send notes)  
Concerns around abuse or neglect of vulnerable populations

Look at pay roll or tech company hiring practices - to see if there are examples of language we can use to clarify the intent of this section.

**Commented [LM31R28]:** This section should be included in the Agency Agreement - include language in the Agency Agreement surrounding HOW or WHAT to report to ICA

**Commented [LM32R28]:** Check Bitfocus language to see if there are examples of this language

**Commented [LM33R28]:** Ari's language suggestion: Decisions related to license suspension are made by the HMIS Lead Agency and take into consideration a variety of factors, including the type of offense. Offenses that may result in suspension are those that could raise liability concerns, such as crimes involving dishonesty, abuse or neglect of vulnerable persons, or violence against other persons.

**Commented [ALK34]:** I have a lot of suggestions for reorganizing this and the next section. I found it confusing as written, so played around with how to make the information flow more clearly. It is too hard to do while tracking changes, though, so I did it in another document (titled AK suggestions for 3.1 and 3.2).

**Commented [MMR35R34]:** Updated with notes from this document in 2019

All HMIS data will be handled according to the following major classifications: Shared Data or Not Shared Data. The HMIS Lead Agency will assess all data and implement appropriate controls to ensure that data classified as Shared or Not Shared are handled according to the following procedures.

#### Shared Data

Shared Data is unrestricted information that has been entered by one partner agency and is visible to other partner agencies using HMIS. Vermont's HMIS is designed as a Shared system that defaults to sharing data. Partner agencies have the option of not sharing client program data on an individual basis.

Commented [CE36]: proposed language: Partner Agencies

#### Not Shared Data

Not Shared Data is information entered by one partner agency that is not visible to other partner agencies using HMIS. Programs that serve victims of domestic violence, individuals with HIV/AIDS, provide youth services, or legal services must enter data as Not Shared. Further, programs that provide youth services and legal services may enter clients as "unnamed." Individual client records that have been shared can be changed to not shared at the client's request.

#### Confidential Data at the Partner Agency Level

Confidential Data contains personal identifying information. Each Partner Agency shall develop rules governing the access of Confidential Data in HMIS to ensure that those staff needing Confidential Data access will have access, and access is otherwise restricted. The Partner Agency rules shall also cover the destruction of paper and electronic data in a manner that will ensure that privacy is maintained and that proper controls are in place for any hard copy and electronic data that is based on HMIS data.

Whenever Confidential Data is accessed:

- Hard copies shall be shredded when disposal is appropriate. Hard copies shall be stored in a secure environment that is inaccessible to the general public or staff not requiring access.
- Hard copies shall not be left out in the open or unattended.
- Electronic copies shall be stored only where the employee can access the data.
- Electronic copies shall be stored where a password is required to access the data if on shared server space.

#### Open Data

Data that does not contain personal identifying information and should be handled discreetly, unless it is further classified as Public Data. This type of data must be stored securely and not left accessible by unauthorized personnel. Open data may be shared via internet or first-class mail until the data is considered Public Data at which time specific classification will dictate any ongoing sharing rules.

#### Public Data

All Public Data falls into one of two classification, *Aggregated Public Data* or *Unpublished Restricted Access Data*. Each classification has its own procedures that must be observed.

#### Aggregated Public Data

This data can be released to the public following the principles outlined in Section 3.2 Reporting Parameters and Guidelines because there is no identifying information that could lead back to a specific client or household served.

#### Unpublished Restricted Access Data

Information scheduled, but not yet approved, for publication. Examples include draft reports, fragments of data sets, and data without context or data that have not been analyzed. This type of data requires the following procedures to be observed and followed:

1. Draft or Fragmented Data – Accessible only to authorized HMIS staff and agency personnel. Requires auditing of access and must be stored in a secure out-of-sight location. Data can be transmitted via e-mail, internal departmental or first-class mail. If mailed, data must be labeled confidential.
2. Confidential Data: Requires encryption at all times. Must be magnetically overwritten and destroyed. Hard copies of data must be stored in an out-of-sight secure location.

### 3.2 DATA REPORTING PARAMETERS AND GUIDELINES

#### Principles for Release of Data

Only de-identified aggregated data will be released except as specified below:

- No identified client data may be released without informed consent unless otherwise specified by Vermont State and Federal confidentiality laws. All requests for such information must be addressed to the Partner Agency where the data was collected.
- Program specific information used for annual grant program reports and program specific information included in grant applications is classified as public information. No other program specific information will be released without written consent.
- There will be full access to aggregate data included in published reports.
- Reports of aggregate data may be made directly available to the public.
- The parameters of the aggregated data, that is, where the data comes from and what it includes will be presented with each report.
- Data will be mined for Partner Agencies requesting reports on a case-by-case basis.
- Requests must be written with a description of specific data to be included and for what duration of time. Requests are to be submitted at least 30 days prior to the date the report is needed. Exceptions to the 30-day notice may be made.
- The HMIS Lead Agency reserves the right to deny any request for aggregated data. Final decisions will be made by the HMIS Director.

### 3.3 RELEASE OF DATA FOR GRANT FUNDERS

Entities providing funding to agencies or programs required to use HMIS will not have automatic access to HMIS. Access to HMIS will only be granted by the HMIS Lead Agency when there is a voluntary written agreement in place between the funding entity and the Partner Agency or program. Funding for any Partner Agency or program using HMIS cannot be contingent upon establishing a voluntary written agreement allowing the funder HMIS access.

### 3.4 BASELINE PRIVACY POLICY

#### Collection of Personal Information

Personal information will be collected for HMIS only when it is needed to provide services, when it is needed for another specific purpose of the Partner Agency where a client is receiving services, or when it is required by law. Personal information may be collected for these purposes:



- To provide or coordinate services for clients
- To find programs that may provide additional client assistance
- To comply with government and grant reporting obligations
- To assess the state of homelessness in the community, and to assess the condition and availability of affordable housing to better target services and resources

Only lawful and fair means are used to collect personal information.

Personal information is collected with the knowledge and consent of clients. It is assumed that clients consent to the collection of their personal information as described in this notice when they seek assistance from a Partner Agency using HMIS and provide the Partner Agency with their personal information. Explicit permission is required to share the client's information in HMIS.

Personal information may also be collected from:

- Additional individuals seeking services with a client
- Other private organizations that provide services and participate in HMIS

Upon request, clients must be able to access the *Use and Disclosure of Personal Information* policy found below.

#### Use and Disclosure of Personal Information

These policies explain why a Partner Agency collects personal information from clients. Personal information may be used or disclosed for activities described in this part of the notice. Client consent to the use or disclosure of personal information for the purposes described in this notice, and for reasons that are compatible with purposes described in this notice but not listed, is assumed. Clients must give consent before their personal information is used or disclosed for any purpose not described here.

Personal information may be used or disclosed for the following purposes:

1. *To provide or coordinate services to individuals. Client records are shared with other organizations that may have separate privacy policies and that may allow different uses and disclosures of the information. If clients access services at one of these other organizations, they will be notified of the agency's privacy and sharing policy.* {OPTIONAL}
2. To carry out administrative functions such as legal audits, personnel, oversight, and management functions.
3. For research and statistical purposes. Data released for research and statistical purposes will not include Personally Identifying Information.
4. For academic research conducted by an individual or institution that has a formal relationship with the HMIS Lead Agency. The research must be conducted by an individual employed by or affiliated with the organization or institution. All research projects must be conducted under a written research agreement approved in writing by the Designated HMIS Agency Contacts or Executive Director. The written research agreement must:
  - Establish the rules and limitations for processing personal information and providing security for personal information in the course of the research.
  - Provide for the return or proper disposal of all personal information at the conclusion of the research.

- Restrict additional use or disclosure of personal information, except where required by law.
  - Require that the recipient of the personal information formally agree to comply with all terms and conditions of the written research agreement, and
  - Be substituted, when appropriate, by Institutional Review Board, Privacy Board or other applicable human subjects' protection institution approval.
5. When required by law. Personal information will be released to the extent that use or disclosure complies with the requirements of the law.
6. For a law enforcement purpose (if consistent with applicable law and standards of ethical conduct) under any of these circumstances:
- In response to a lawful court order, court-ordered warrant, subpoena or summons issued by a judicial officer or a grand jury subpoena, if the court ordered disclosure goes through the HMIS Lead Agency and is reviewed by the Executive Director for any additional action or comment.
  - If the law enforcement official makes a written request for personal information the agency or organization may, but is not required to release client information providing the request meets the following requirements, according to the Federal Register /vol.69 No. 146:
    - i. Be signed by a supervisory official of the law enforcement agency seeking the personal information.
    - ii. State how the information is relevant and material to a legitimate law enforcement investigation.
    - iii. Identify the personal information sought.
    - iv. Be specific and limited in scope to the purpose for which the information is sought.
    - v. Be approved for release by the HMIS Lead Agency's legal counsel after a review period of seven to fourteen days and,
    - vi. Have written consent for client data release from the respective governing HUD recognized Continuum of Care.
  - If it is believed that the personal information constitutes evidence of criminal conduct that occurred at the Partner Agency where the client receives services.
  - If the official is an authorized federal official seeking personal information for the provision of protective services to the President or other persons authorized by 18 U.S.C. 3056, or to a foreign head of state or other persons authorized by 22 U.S.C. 2709(a)(3), or for the conduct of investigations authorized by 18 U.S.C. 871 (threats against the President and others), and the information requested is specific and limited in scope to the extent reasonably practicable in light of the purpose for which the information is sought.
7. For law enforcement or another public official authorized to receive a client's personal information to conduct an immediate enforcement activity that depends upon the disclosure. Personal information may be disclosed when a client is incapacitated and unable to agree to the disclosure if waiting until the individual is able to agree to the disclosure would materially and adversely affect the enforcement activity. In this case, the disclosure will only be made if it is not intended to be used against the individual.

Commented [MMR37]: 146

Commented [CE38R37]: Added language and included FR. Should this be cited in another way?

8. To avert a serious threat to health or safety if:
  - the use or disclosure is necessary to prevent or lessen a serious and imminent threat to the health or safety of an individual or the public, and
  - the use or disclosure is made to a person reasonably able to prevent or lessen the threat, including the target of the threat.
  
9. To report to a governmental authority (including a social service or protective services agency) authorized by law to receive reports of abuse, neglect or domestic violence, information about an individual reasonably believed to be a victim of abuse, neglect or domestic violence. When the personal information of a victim of abuse, neglect or domestic violence is disclosed, the individual whose information has been released will promptly be informed, except if:
  - it is believed that informing the individual would place the individual at risk of serious harm, or
  - a personal representative (such as a family member or friend) who is responsible for the abuse, neglect or other injury is the individual who would be informed, and it is believed that informing the personal representative would not be in the best interest of the individual as determined in the exercise of professional judgment.
  
10. To comply with government reporting obligations for homeless management information systems and for oversight of compliance with homeless management information system requirements.

#### Inspection and Correction of Personal Information

Clients may inspect and receive a copy of their personal information maintained in HMIS. The Partner Agency where the client receives services will offer to explain any information that a client may not understand. The Partner Agency will provide the requested personal information to the client within no more than 60 days or as required by agency guidelines, whichever is soonest.

If the information listed in HMIS is believed to be inaccurate or incomplete, a client may submit a verbal or written request to have their information corrected. Inaccurate or incomplete data may be deleted or marked as inaccurate or incomplete and supplemented with additional information.

A request to inspect or copy one's personal information may be denied if:

- The information was compiled in reasonable anticipation of litigation or comparable proceedings
- The information was obtained under a promise or confidentiality and if the disclosure would reveal the source of the information
- The life or physical safety of any individual would be reasonably endangered by disclosure of the personal information, or
- If requests are made in a repeated and/or harassing manner.

If a request for inspection access or personal information correction is denied, the Partner Agency where the client receives services will explain the reason for the denial. The Partner Agency will provide the reason for denial to the client within no more than 60 days or as required by agency guidelines, whichever is soonest. The client's request and the reason for the denial will be included in the client's record.

#### Limits on Collection of Personal Information

Only personal information relevant for the purpose(s) for which it will be used will be collected. Personal information must be accurate and complete.

Client files not used in seven years may be made inactive in HMIS. The HMIS Lead Agency will check with Partner Agencies before making client files inactive. Personal information may be retained for a longer period if required by statute, regulation, contract or another obligation.

#### Limits on Partner Agency Use of HMIS Client Information

The Vermont HMIS is a shared data system. This system allows Partner Agencies to share client information to coordinate services for clients. However, Partner Agencies may not limit client service or refuse to provide services based on historical data contained in HMIS enrollment dates (as verified by a Partner Agency) may be used towards program eligibility documentation as allowable by HUD, but data within the enrollment may not as it is client self-report. . Data is shared with agencies that are listed in the VT Agencies Using HMIS document. This list is subject to change:

<https://icanevengland.helpscoutdocs.com/article/282-vermont-hmis-governance>

Youth providers serving clients under the age of 18 must maintain Not Shared HMIS client files. Youth under the age of 18 may not provide either written or verbal consent to the release of their personally identifying information in HMIS, unless they are emancipated or otherwise have the legal authority to do so.

Commented [ALK39]: Using Not Shared instead of closed matches language earlier about data being Shared or Not Shared.

#### Complaints and Accountability

Questions or complaints about the privacy and security policies and practices may be submitted to the Partner Agency where the client receives services. Complaints specific to HMIS should be submitted to the Designated HMIS Agency Contact and Program Director. If no resolution can be found, the complaint will be forwarded to the System Administrators, and the Partner Agency's Executive Director. If there is no resolution, the Vermont HMIS Advisory Committee will oversee final arbitration. All other complaints will follow the Partner Agency's grievance procedure as outlined in the agency's handbook.

All HMIS users (including employees, volunteers, affiliates, contractors and associates) are required to comply with this privacy notice. Users must receive and acknowledge receipt of a copy of this privacy notice.

### 3.5 USE OF A COMPARABLE DATABASE BY VICTIM SERVICE PROVIDERS

Victim service providers, private nonprofit agencies whose primary mission is to provide services to victims of domestic violence, dating violence, sexual assault, or stalking, must not directly enter or provide data into HMIS if they are legally prohibited from participating in HMIS. Victim service providers that are recipients of funds requiring participation in HMIS, but are prohibited from entering data in HMIS, must use a comparable database to enter client information. A comparable database is a database that can be used to collect client-level data over time and generate unduplicated aggregated reports based on the client information entered into the database. The reports generated by a comparable database must be accurate and provide the same information as the reports generated by HMIS.

### 3.6 DISASTER RECOVERY PLAN

#### Bitfocus Disaster Recovery Plan

Vermont's HMIS is covered under Bitfocus Disaster Recovery Plan. Due to the nature of technology, unforeseen service outages may occur. The disaster recovery plan is meant to minimize any effects of service outages and to enable Bitfocus to either maintain, or quickly resume, mission-critical functions. A copy of this plan is available for review by submitting a request to the VT HMIS Help Desk; vthmis@icalliances.org.

Commented [MMR40]: Update to be Bitfocus

#### Standard Data Recovery

Vermont's HMIS database is stored online and is readily accessible for approximately 24 hours a day. Tape backups of the database are kept for approximately one month. Upon recognition of a system failure, HMIS can be copied to a standby server. The database can be restored, and the site recreated within three to four hours if online backups are accessible. As a rule, a tape restoration can be made within six to eight hours. On-site backups are made once daily. A restore of this backup may incur some data loss between when the backup was made and when the system failure occurred.

Commented [MMR41]: Update to reflect new vendor

All internal servers are configured in hot-swappable hard drive RAID configurations. All systems are configured with hot-swappable redundant power supply units. Internet connectivity is comprised of a primary and secondary connection with separate internet service providers to ensure redundancy in the event of an ISP connectivity outage. The primary Core routers are configured with redundant power supplies and are configured in tandem so that if one core router fails the secondary router will continue operation with little to no interruption in service. All servers, network devices, and related hardware are powered via APC Battery Backup units that are connected in turn to electrical circuits, which are connected to a building generator.

All client data is backed-up online and stored on a central file server repository for 24 hours. Each night a tape backup is made of the client database and secured in a bank vault.

Historical data can be restored from tape as long as the data requested is newer than 30 days old. As a rule, the data can be restored to a standby server within four hours without affecting the current live site. Data can then be selectively queried and/or restored to the live site.

For power outage, HMIS is backed up via APC battery back-up units, which are connected via generator-backed up electrical circuits. For a system crash, a system restore will take four hours. There is potential for some small data loss (data that was entered between the last backup and when the failure occurred) if a tape restore is necessary. If the failure is not hard drive related, the data restore time will possibly be shorter as the drives themselves can be repopulated into a standby server.

All major outages are immediately brought to the attention of executive management. Bitfocus support staff helps manage communication or messaging to the System Administrator as progress is made to address the service outage.

#### Vermont HMIS Disaster Recovery Plan

The Institute for Community Alliances (ICA), as HMIS Lead Agency, operates a regional approach to administering the Vermont HMIS. The main ICA Vermont HMIS office is in Madison, WI, with a secondary office in Green Bay, WI and an office located in the state of Vermont. In the event of a localized emergency or disaster, ICA will shift responsibility for administering the HMIS and managing day-to-day operations of the system to an unaffected site.

### 3.7 PROCEDURE FOR REPORTING SECURITY INCIDENTS

Users and Designated HMIS Agency Contacts should report all unlawful access of HMIS and unlawful attempted access of HMIS. This includes theft of usernames and passwords. Security incidents should be reported to the ICA System Administrator. The ICA System Administrator will use the HMIS user audit trail report to determine the extent of the breach of security.

## 4. Data Requirements

### 4.1 MINIMUM DATA COLLECTION STANDARD

Partner Agencies are responsible for asking all clients a minimum set of questions for use in aggregate analysis. These questions are included in custom assessments that are created by HMIS System Administrators. The required data elements depend on the program. The mandatory data elements in each assessment are displayed in *red* text and/or specific text indicating that the field is required.

The Designated HMIS Agency Contact must identify the assessments and requirements for each program. The HMIS Lead Agency will consult with the Designated HMIS Agency Contact to properly set up each program in HMIS.

Guidelines clearly articulating the minimum expectations for data entry for all programs entering data in HMIS will be sent to Designated HMIS Agency Contacts and posted on the Institute for Community Alliances' Vermont HMIS webpage. Designated HMIS Agency Contacts must ensure that the minimum data elements are fulfilled for every program.

### 4.2 PROVIDER NAMING CONVENTION

All providers within HMIS must be named so that they accurately reflect the type of service carried out by the corresponding Partner Agency program.

### 4.3 DATA QUALITY PLAN

Partner Agencies are responsible for the overall quality, accuracy and completeness of data entered by their staff for their clients. HMIS Lead Agency staff will monitor data collection of the HMIS Universal Data Elements and required program specific data elements monthly and hold Partner Agencies accountable for not entering required data.

The HMIS Lead Agency will submit a report to each CoC annually that identifies the degree to which all Partner Agencies within the CoC are meeting the minimum data entry standards.

Programs that do not adhere to the minimum data entry standards will be notified of their deficiencies and given appropriate training on how to correctly enter data. Partner Agencies and/or users who do not meet minimum data entry standards following additional training from ICA will be considered in violation of the HMIS operating agreements and will work with ICA to create a corrective action plan to address the data needs of the partner agency.

Commented [KA42]: I wonder if we want to have more frequent reviews of this at the committee level.

Commented [LM43R42]: In the past these have been through the SPM's and AHAR. We can have a committee discussion about what the group would like to see moving forward.

Commented [LM44R42]: Is this a task that the committee would like to commit to reviewing consistently?

Commented [MMR45]: Needs to be re-worded.

#### 4.4 DATA IMPORTS

While HMIS vendors are required to have the capacity to accept CSV and/or XML imports per federal regulations, a CoC has at its discretion whether or not to permit imports and may require direct data entry into the CoC designated HMIS. The Balance of State CoC and the Chittenden/Burlington CoC, reserve the right to review all individual agency requests for CSV and/or XML imports into Vermont's HMIS. In making a request, a Partner Agency must provide the CoC with documentation their vendor can meet the HUD standards for CSV and/or XML imports and confirmation the funding source allows imports. Once a Partner Agency's vendor has been approved, the CoC will evaluate importing as it relates to funding requirements and its potential impact on the data integrity of Vermont's HMIS. Allowing CSV and/or XML imports could impact data integrity and increase the likelihood of duplication of client files within the system. The data must meet minimum data completeness requirements set forth by HUD at not greater than 10% missing data fields within each required Universal Data Element as defined in the most recent HMIS Data Standards Manual for each upload. Prior to an approved import, the Partner Agency requesting the import will incur all costs associated with the import, including, but not limited to: Bitfocus' cost of service and the HMIS Lead Agency's cost of service. An estimate will be provided. However, the Partner Agency requesting the import will be responsible for any additional costs incurred directly related to the import process. All payments are non-refundable.

#### 4.5 HMIS DATA PROTECTION

As the HMIS Lead Agency, it is the responsibility of ICA to maintain the HMIS, including protecting the data contained in HMIS. In the case where ICA is made aware through data contained in HMIS that Partner Agency program funds were used for an ineligible service, ICA will notify the Partner Agency about the misuse of funds. If the Partner Agency fails to rectify the misuse of funds in a timely fashion, ICA will notify the appropriate funding body.

### 5. Glossary

**Aggregated Public Data** – Data that is published and available publicly. This type of data does not identify clients listed in the HMIS.

**Client**- Person or persons receiving services from an agency using the VT HMIS system for data collection.

**Closed Data** – Information entered by one provider that is not visible to other providers using HMIS.

**Confidential Data** – Contains personal identifying information.

**Designated HMIS Agency Contact** - This person serves as the primary agency point of contact for all matters concerning HMIS.

**Extended Leave / Vacation** – An extended leave would be 30 or more days.

**General HMIS User** - Users are considered general HMIS Users if they enter data into HMIS for a Partner Agency that has housing projects listed on their CoC's Housing Inventory Count, or for a Partner Agency that is required by the entity that funds their homeless service or housing project to enter data into HMIS.

**HMIS – Homeless Management Information System** – An internet-based database that is used by homeless service organizations across Vermont to record and store client-level information about the numbers, characteristics and needs of homeless persons and those at risk of homelessness.

**HMIS Advisory Committee** – The group of HMIS users and other community stakeholders who are responsible for approving and implementing the HMIS Policies and Procedures, and for working to make improvements to Vermont's HMIS.

**HMIS User Group** - Group forum for all HMIS Users to discuss the functionality of the HMIS, identify strengths and weakness and create the opportunity for HMIS Users to learn from each other.

**HMIS License Fee** – The annual fee paid by partner agencies to allow each HMIS user at their agency continued access to the database.

**HMIS User** – End user, employed by a local agency required to enter data. HMIS users in order to have access to the VT HMIS must pass and adhere to the minimum training and security standards.

**HMIS Access Role** – HMIS users are assigned a specific access role that limits the data and features the user is able to access in the database.

**HMIS Vendor** – The Vermont HMIS software vendor is Bitfocus. The HMIS vendor designs the HMIS and provides ongoing support to the System Administrators.

**ICA** – The Institute for Community Alliances, which is the HMIS Lead Agency and System Administrator.

**Minimum Data Entry Standards** – A minimum set of questions that must be completed for each client to provide data for use in aggregate analysis.

**Official Volunteer** - A recognized and consistent unpaid member of a user agency. They may or may not have access to the VT HMIS. To have access they must pass and adhere to the minimum training and security standards.

**Open Data** – Does not contain personal identifying information.

**Partner Agencies** – The homeless service organizations that use HMIS.

**Security Officer** - Each Partner Agency must designate a Security Officer. The Security Officer must be a current HMIS user and may also be the Designated HMIS Agency Contact. The Security Officer is



responsible for ensuring compliance with applicable security standards, monitoring HMIS access by users at their agency, and maintaining the security of the HMIS for their agency.

**System Administrators** –The System Administrators allow users HMIS access and provide training; ensure user compliance with HMIS policies and procedures; and make policy recommendations to the Steering Committee.

**Shared Data** – Unrestricted information that has been entered by one provider and is visible to other providers using HMIS.

**Unpublished Restricted Access Data** – Information scheduled, but not yet approved, for publication.

**Victim Service Provider** – A nonprofit agency with a primary mission to provide services to victims of domestic violence, dating violence, sexual assault, or stalking.

## 6. Appendices

### 6.1 USER MANUALS

The User Manuals for General Users provide the protocol for data entry workflow for Vermont HMIS users. The User Manuals include the data entry workflow requirements to document valid program entry and exit dates in the HMIS. Manuals are located on the ICA website:  
<https://icanewengland.helpscoutdocs.com/>.

### 6.2 DATA DICTIONARY AND DATA MANUAL

The [HMIS Data Standards Manual](#) is intended to serve as a reference and provide basic guidance on HMIS data elements for CoCs, HMIS Lead Agencies, HMIS System Administrators, and users. The companion document to the HMIS Data Manual is the [HMIS Data Dictionary](#).

The HMIS Data Dictionary is designed for HMIS vendors, HMIS Lead Agencies, and HMIS system administrators to understand all of the data elements required in an HMIS, data collection and function of each required element and the specific use of each element by the appropriate federal partner. The HMIS Data Dictionary should be the source for HMIS software programming.

HMIS systems must be able to collect all of the data elements defined in the HMIS Data Dictionary, support system logic identified in this document, and ensure that data collection and the visibility of data elements is appropriate to the project type and federal funding source for any given project.